

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Tomizuka et al.Application No./Patent No.: 60/250,340Filed/Issue Date: November 30, 2000Entitled: TRANSGENIC TRANSCHROMOSOMAL RODENTS FOR MAKING HUMAN ANTIBODIESMedarex, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

5-15-01

Date

W Bradford Middlekauff

Signature

W Bradford Middlekauff

Typed or printed name

General Counsel

Title

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PA 3138570 v1

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Tomizuka et al.

Application No./Patent No.: 60/250,340

Filed/Issue Date: November 30, 2000

Entitled: TRANSGENIC TRANSCHROMOSOMAL RODENTS FOR MAKING HUMAN ANTIBODIES

Kirin Beer Kabushiki Kaisha, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest

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OR

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The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

April 19, 2001

Date


Signature

Koichiro Aramaki

Typed or printed name

President

Title

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PA 3138567 v1

DECLARATION

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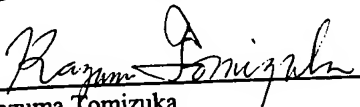
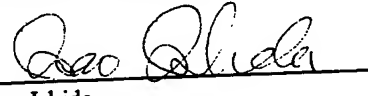
As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **TRANSGENIC TRANSCHROMOSOMAL RODENTS FOR MAKING HUMAN ANTIBODIES** the specification of which was filed on November 30, 2000 as Provisional Application No. 60/250,340.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Full Name of Inventor 1:	Last Name: TOMIZUKA	First Name: KAZUMA	Middle Name or Initial:	
Residence & Citizenship:	City:	State/Foreign Country: Japan	Country of Citizenship: Japan	
Post Office Address:	Post Office Address: 110-1-405, Yashima-cho Takasaki-shi, Gunma 370-0849	City:	State/Country: Japan	Postal Code:
Full Name of Inventor 2:	Last Name: ISHIDA	First Name: ISAO	Middle Name or Initial:	
Residence & Citizenship:	City:	State/Foreign Country: Japan	Country of Citizenship: Japan	
Post Office Address:	Post Office Address: Kushihashi 352-6 Isehara, Kanagawa 259-1136	City:	State/Country: Japan	Postal Code:

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1  Kazuma Tomizuka	Signature of Inventor 2  Isao Ishida
Date Apr. 27, 2001	Date Apr 25, 2001

DECLARATION

COPY

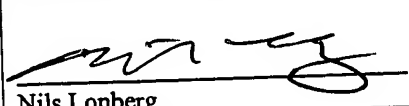

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Full Name of Inventor 1:	Last Name: LONBERG	First Name: NILS	Middle Name or Initial:	
Residence & Citizenship:	City: Woodside	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 780 W. California Way	City: Woodside	State/Country: California	Postal Code: 94062
Full Name of Inventor 2:	Last Name: HALK	First Name: ED	Middle Name or Initial:	
Residence & Citizenship:	City: Sunnyvale	State/Foreign Country: California	Country of Citizenship: United States	
Post Office Address:	Post Office Address: 1004 Edmonds Court	City: Sunnyvale	State/Country: California	Postal Code: 94087

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 3	Signature of Inventor 4
	
Nils Lonberg	Ed Halk
Date 05-15-01	Date 05-15-01

Please type a plus sign (+) inside this box



PTO/SB/81 (10-00)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	60/250,340
Filing Date	November 30, 2000
First Named Inventor	Tomizuka et al.
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	014643-012100US

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I hereby appoint:

☐ Practitioners at Customer Number

Place Customer
Number Bar Code
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☒ Practitioner(s) named below:

Name	Registration Number
Andrew T. Serafini, Ph.D.	41,303
William M. Smith	30,223

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of an equal interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Kirin Beer Kabushiki Kaisha, President

Signature


Date

April 19, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

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Name	Registration Number
Andrew T. Serafini, Ph.D.	41,303
William M. Smith	30,223

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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☐ Applicant/Inventor.

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Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Signature

Date

W. Bradford Middlekauff

W. Bradford Middlekauff, General Counsel

5-15-01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.
Submit multiple forms if more than one signature is required, see below*.

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Patents, Washington, DC 20231.
PA 3138950 v1

COPY

ASSIGNMENT OF PROVISIONAL PATENT APPLICATION

JOINT

WHEREAS, Kazuma Tomizuka, of 110-1-405, Yashima-cho Takasaki-shi, Gunma 370-0849, Japan; and Isao Ishida, of Kushihashi 352-6 Isehara, Kanagawa 259-1136, Japan; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified provisional patent application:

Title of Invention: TRANSGENIC TRANSCHROMOSOMAL RODENTS FOR MAKING HUMAN ANTIBODIES

Filing Date: November 30, 2000

Application No.: 60/250,340; and

WHEREAS, Kirin Beer Kabushiki Kaisha, located at 10-1, Shinkawa 2-chome, Chuo-ku, Tokyo 104-8288 Japan, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: Apr. 27, 2001

Kazuma Tomizuka
Kazuma Tomizuka

Dated: Apr 25, 2001

Isao Ishida
Isao Ishida

COPY

ASSIGNMENT OF PROVISIONAL PATENT APPLICATION

JOINT

WHEREAS, Nils Lonberg, of 780 W. California Way, Woodside, CA 94062; and Ed Halk, of 1004 Edmonds Court, Sunnyvale, CA 94087; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified provisional patent application:

Title of Invention: TRANSGENIC TRANSCHROMOSOMAL RODENTS FOR MAKING HUMAN ANTIBODIES

Filing Date: November 30, 2000

Application No.: 60/250,340; and

WHEREAS, Medarex, Inc., located at 67 Beaver Avenue, Annandale, NJ, 08801, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

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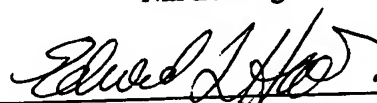
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Dated: 05-15-01


Nils Lonberg

Dated: 05-15-01


Ed Halk